



University of Kansas Office of Research

[About](#)[Forms/Policies](#)[Grants Life Cycle](#)[Training](#)[Research Integrity](#)[About Research Integrity](#)[Animal Subjects](#)[Conflict of Interest](#)[Human Subjects](#)[Export Controls](#)

Restricted Research

Restricted Research Policy

The restricted research policy applies to:

- Any required delay of publication.
- Any indefinite or permanent delay of publication;
- Any limits on access to the research process by foreign students, scholars, faculty, or staff;
- Any restrictions on access to campus facilities in ways that are judged to disrupt the overall research and teaching activities of the university;
- Any contract or grant that may have primarily harmful consequences for human beings.

Required delays of publication less than 150 days may be approved administratively by the Office of Research. Any of the other above restrictions included in a proposed sponsored project grant or contract terms will normally not be accepted by the University of Kansas/KU Center for Research.

Applying for an Exemption:

KUCR attempts to resolve restrictions on publication or access to the research process or facilities through negotiation. If these negotiations are unsuccessful, the investigator may apply for an exemption. The merits of the proposed research and the potential benefits to be realized must clearly outweigh the disadvantages of the restrictions. The policy permits exemptions, that is, restrictions on publication or access, if the research

- involves unique university capabilities;
- has very substantial scholarly or educational benefits, or;
- constitutes a very substantial public service.

To apply for an exemption, the investigator must submit an [Application for Exemption](#)  to the Restricted Research Committee.

If the grant or contract might be considered to have "primarily harmful consequences for human beings," the Application for Exemption must be received by the Restricted Research Committee at least two weeks prior to the proposed submission date.

The university will not accept any grant or contract which includes restrictions on publication or access unless

an exemption is approved by the Restricted Research Committee or by the Vice Chancellor for Research.

Decisions made by the Restricted Research Committee are posted on the [University Governance website](#).

Appealing a Decision by the Restricted Research Committee and/or the Vice Chancellor for Research

An investigator or a group of 10% or more of the graduate faculty or 25% of the Faculty Senate may appeal a recommendation made by the Restricted Research Committee (and approved by the Vice Chancellor for Research) or may appeal a decision made by the Vice Chancellor for Research that differs from the recommendation of the Restricted Research Committee. Appeals will only be considered if the person(s) making the appeal can demonstrate that:

- there were evident procedural problems with the original decision process; or
- there is new or additional information about the project that could reasonably change the original decision.

The Application for an Appeal must be submitted to the Faculty Senate Research Committee within two weeks of the announcement of a decision by the Restricted Research Committee or the Vice Chancellor for Research.

RI RESOURCES

- [Compliance Hotline](#)
- [eCompliance Human Subjects](#)
- [Environment Health & Safety](#) »
- [Human Subjects Tutorial](#)
- [Responsible Scholarship](#)
- [Restricted Research](#)
- [Scholarly Misconduct Policy](#) »

CONTACT

Research Integrity
☎ 785-864-7429
✉ researchintegrity@ku.edu

Susan MacNally
Director
☎ 785-864-4148
✉ sumac@ku.edu

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