



Office of the Associate Vice Chancellor for Compliance

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Compliance Helpline

Every member of the faculty and staff at the Kansas City and Wichita campus of the KU Medical Center plays a vital role in upholding our commitment to an ethical and legal community. The Office of Compliance Helpline is designed to support the highest standards of conduct.

913-588-5757

**TOLL FREE:
877-588-5757**

What is the Compliance Helpline?

The Compliance Helpline provides a way to report suspected non-compliance in a manner that facilitates resolution and assures non-retaliation. The Helpline is part of KUMC's Compliance Program.

When should I call?

Under normal circumstances, compliance issues should first be discussed with your supervisor or study personnel. Many compliance questions can be resolved informally within the department. However, if you suspect that your supervisor may be involved, if earlier reports have not been pursued, or if you are uncomfortable with reporting in person, you are encouraged to call the Helpline.

Who can call?

The Helpline is available to any person in the KUMC research community, or other interested parties who know about or suspect illegal, unethical or questionable activity.

What should be reported?

Activities that violate federal or state regulations governing Equal Opportunity, HIPAA, Human and Animal Research or Safety should be promptly reported. These may include ethical violations or suspicions of criminal conduct. Non-compliance covers a broad spectrum of issues. For example:

- Falsification of research data
- Violation of human subjects protections
- Safety violations in research laboratories
- Misuse of trainees
- Disregard for animal welfare regulations
- Infringement of patents or intellectual property
- Financial conflicts of interest

- Fraudulent research billings
- Retaliation against whistleblowers
- Harassment or discrimination of State employees or students
- Violation of patient privacy

Will my call be confidential?

Every report will be acted upon in a confidential manner, with notification to other appropriate individuals on a need-to-know basis. You may make your report anonymously if you choose, and your anonymity will be protected to the extent possible by law.

What if I don't have all the facts?

If you suspect a violation of guidelines and regulations, you should call even if you are not sure. The Office of Compliance will take the information you provide, attempt to verify it, and take appropriate actions.

What happens to my report?

Your call will come directly to the Office of Compliance, which conducts a preliminary inquiry to determine if the report has merit. In order to protect everyone involved, no disciplinary or legal action will be taken based solely on a Helpline call. If the preliminary inquiry reveals possible non-compliance, an investigation will determine appropriate action. Any corrections, disciplinary action, or sanctions will be weighed according to the severity of the concern. Factors taken into consideration include deliberate intention, frequency of violations, and potential impact on research subjects, other researchers or research records, and public welfare. Depending on the nature of the findings, the Associate Vice Chancellor for Compliance may be required to report the non-compliance to federal and/or state authorities.

What about retaliation?

Both Federal guidelines and university policy forbid retaliation toward a person bringing a good-faith allegation of non-compliance. Any retaliatory conduct against persons acting in good faith will be subject to disciplinary action. However, employees who intentionally and maliciously make false accusations will be disciplined in accordance with university policy.

Are there other ways to make a report?

You may also write to:

Office of Compliance
University of Kansas Medical Center
Mail Stop 2014
3901 Rainbow Blvd.
Kansas City, Kansas 66160

Last modified: Jan 30, 2014

Contact KUMC

The University of Kansas
Medical Center
3901 Rainbow Boulevard
Kansas City, KS 66160
913-588-5000

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